

APPLICATION OF FORUM CE HOURS RECORDED WITHIN MyCE

Forum CE hours are accredited to relevant PortfolioConstruction Forum program content, as well as the content of other close, separate partners and entities, and are attributed to members through Forum's online CE management platform 'MyCE'.

Forum CE hours can be applied to report completed CE and CPD activities as required by the following regulators, associations, institutes and designations. Forum CE hours are mapped to ASIC RG146 licensing requirements as this is the most universal framework in practice.

Entity	Minimum Standard	Forum CE hours	Forum Hours
Actuaries Institute	<p>Actuaries Institute</p> <p>Members are required to self-assess and complete 100 points of CPD per calendar year (or 200 points of CPD in aggregate over the previous two years, provided at least 50 points are completed in each year), regardless of practice area or full-time equivalency.</p>	Forum CE hours can be used by members to meet your commitment to continuing education.	✓
AFA	<p>Association of Financial Advisers</p> <p>FChFP® Professionals must accumulate 120 AFA hours per triennium, half may be 'self-assessed'/reported.</p> <p>AChFP® Professionals must accumulate 90 AFA hours per triennium, half may be 'self-assessed'/reported.</p>	<p>The Forum is an Accredited AFA CPD Assessor.</p> <p>Forum programs (including select online content) are accredited for AFA CPD which can be used by member to meet your CPD requirements.</p>	✓
APRA	Australian Prudential Regulatory Authority	Forum CE hours can be used to meet your obligations under:	

	Appropriate CPD activities and hours are set at the discretion of the RSE holder (licensee).	<ul style="list-style-type: none"> ○ Prudential Standard SPS 520: Fit and Proper ○ Prudential Standard SPS 530: Investment Governance 	✓
ASFA	<p>Association of Superannuation Funds of Australia</p> <p>Members must accumulate 30 points/hours per annum, half of which can be acceptable non-ASFA CPD.</p>	Forum CE hours can be used by members as acceptable non-ASFA CPD.	✓
ASIC	<p>Australian Securities and Investments Commission</p> <p>Minimum hours set by the AFSL holder (licensee) (RG146.130). CPD training courses do not need to be assessed by an authorised assessor (RG146.F-Key Points)</p>	<p>Forum CE hours can be used to meet obligations under:</p> <ul style="list-style-type: none"> ○ ASIC RG146 licensing requirements ○ RG104 Licensing: Meeting the general obligations ○ RG105 Licensing: Organisational competence 	✓
CAANZ	<p>Chartered Accountants Association of Australia and New Zealand</p> <p>120 hours of CPD per triennium. 90 hours must be 'formal/structured'. Members may 'self-assess/report' their CPD activities. CA FP Specialists must complete 40% in financial planning topics.</p>	Forum CE hours can be used by members to meet the characteristics set out under CAANZ Regulation 7.	✓
CAIA	<p>Chartered Alternative Investment Analyst (CAIA) Association</p> <p>Charter holders must complete CAIA's self-evaluation tool (15 q's) every three years.</p>	Forum CE hours based upon CAIA curriculum can be used by charter holders when completing your CAIA self-evaluation requirement.	✓
CFA	<p>Chartered Financial Analyst</p>	Forum CE hours can be used by charter holders when 'self-	✓

	No minimum hours set for charter holders who may 'self-assess/report' their CPD activities.	assessing/reporting' your CPD activities.	
CIMA	<p>Certified Investment Management Analyst</p> <p>Certificants must report 40 hours of CE every two years including 2 ethics hours.</p>	<p>Forum is a global CE Provider with IMCA International and organises CIMA CE accreditation for Forum programs and those of select partners.</p> <p>Once CIMA CE accreditation has been confirmed for a program (including select online content), the Forum automatically updates a CIMA certificant's MyIMCA record with the accredited CIMA CE hours.</p>	✓
CPA	<p>Certified Public Accountant (Australia)</p> <p>120 hours of CPD per triennium. 90 hours must be 'formal/structured'. Members may 'self-assess/report' their CPD activities.</p>	Forum CE hours can be used by members to meet your formal and informal CPD requirements.	✓
FINSIA	<p>Financial Securities Institute of Australasia</p> <p>No minimum hours set for members however it's recommend between 20 to 30 hours per annum. Members may 'self-assess/report' their CPD activities.</p>	Forum CE hours can be used by members when 'self-assessing/reporting' your CPD activities.	✓
FMA	<p>Financial Markets Authority (New Zealand)</p> <p>An AFA must complete no less than 30 hours of structured professional development over the course of every two years</p>	Forum CE hours can used by AFAs to meet your structured continuing education commitment (provided the topics fit within your PDP).	✓

FPA	<p>Financial Planning Association of Australia</p> <p>CFP® Professionals must accumulate 120 FPA hours per triennium, half may be 'self-assessed/reported'.</p>	<p>Forum programs (including select online content) are accredited by the FPA. The CE accreditation can therefore be used by members to meet your 'accredited CPD' requirement.</p>	✓
	<p>Financial Planner AFP® must accumulate 90 FPA hours per triennium, half may be 'self-assessed/reported'.</p>		
IFA	<p>Institute of Financial Advisers (New Zealand)</p> <p>Practitioner Members require 60 hours of CPD over a two-year period with at least 30 hours structured CPD with the balance either structured or unstructured hours.</p>	<p>Forum CE hours can be used by members to meet your commitment to structured and unstructured continuing education.</p>	✓
SMSFA	<p>Self Managed Super Fund Association</p> <p>Specialist members must accumulate 120 points/hours per triennium, 60 points of which may be 'self-assessed'/reported.</p>	<p>Forum CE hours can be used by members as non-SMSFA accredited CPD under the SMSFA CPD Policy.</p>	✓
	<p>Associate members must accumulate 90 points/hours per triennium, 45 points of which may be 'self-assessed'/reported.</p>		